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Attorney Docket No.: 19570.44353
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Dennis Mitchell

Serial No.: Not Assigned

Filed: August 21, 1998

Title: DEVICE AND METHOD FOR
PROTECTION OF HEATING
VENTILATION AND AIR
CONDITIONING CONTROL
CIRCUITS FROM
OVERCURRENTS

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Art Unit: Not Assigned

Examiner: Not Assigned

JC560 U.S. PTO
09/138253
08/21/98

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

As listed on the accompanying form PTO-1449, submitted herewith are copies of the indicated references which applicant believes may be material to the examination of the above-identified application, and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Further, the filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Written notification that the enclosed references have been considered in their entirety by return of a copy of the enclosed form, completed by the Examiner, is respectfully requested.

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit August 21, 1998

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

8/21/98

Date

[Signature]
Signature of Person Mailing

Daniel Hernandez
Printed Name of Person Mailing

Concerning publications supplied only with the year of publication, the year of publication is sufficiently earlier than the effective U.S. filing date and any foreign priority date so that the particular month of publication is not in issue.

- ☒ This Information Disclosure Statement is being filed within three months of the filing date of the national application (37 C.F.R. § 1.97(b)(1)); or
- ☐ This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. § 1.97(b)(2)); or
- ☐ This Information Disclosure Statement is being filed before the mailing date of a First Office action on the merits (37 C.F.R. § 1.97(b)(3));

whichever event occurs last, or

- ☐ This Information Disclosure Statement is being filed after three months of the filing date of this national application, or after three months of the date of entry of the national stage as set forth in § 1.491 in an international application, or after the mailing date of the first Office action on the merits, whichever event occurred last, but before the mailing date of either a final action under § 1.113 or a notice of allowance under § 1.311., whichever occurs first (37 C.F.R. § 1.97(c)). Accompanying this transmittal is:
 - ☐ a certification as specified in 37 CFR § 1.97(e) {See ** Below}; or
 - ☐ a fee set forth in 37 CFR § 1.17(p) for submission of an information disclosure statement under § 1.97(d) -- (\$240.00)
- ☐ This Information Disclosure Statement is being filed after a final action under § 1.113 or a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee (37 C.F.R. § 1.97(d)). Accompanying this transmittal is:
 - a. a certification as specified in 37 CFR § 1.97(e) {See ** Below};
 - b. Applicant hereby petitions for the consideration of the accompanying information disclosure statement. 37 CFR § 1.97(d)(ii); and
 - c. the petition fee set forth in 37 CFR § 1.17(l)(1) -- (\$130.00).

****CERTIFICATION FOR INFORMATION DISCLOSURE STATEMENT (37 CFR § 1.97(e))**

I, the person(s) signing below certify:

- ☐ that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 CFR § 1.97(e)(1).

OR

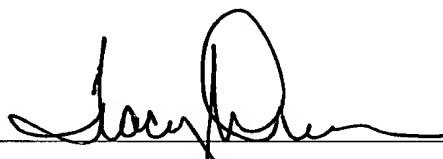
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR § 1.97(e)(2).

FEE PAYMENT

- ☐ A check in the amount of \$ _____ to cover the filing fee is enclosed herein.
- ☐ Please charge Deposit Account No. 50-0432 in the amount of \$ _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required at any time during the prosecution of these applications without specific authorization, or credit any overpayment to Deposit Account No. 50-0432.

Dated: 21 Aug '98

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